

Tetrahedron 58 (2002) 9839-9846

TETRAHEDRON

The diastereoselective asymmetric total synthesis of NG-391, a neuronal cell-protecting molecule

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Received 19 August 2002; accepted 3 October 2002

Abstract—The stereocontrolled total synthesis of (+)-NG-391, a neuronal cell-protecting molecule, is described along with the determination of its absolute stereochemistry. The following reactions in this synthesis are particularly noteworthy: (1) The stereoselective construction of the conjugated (*E,E,E,E,E*)-pentaene from an (*E,E,E*)-alcohol using an IBX oxidation followed by stereoselective Horner– Emmons reaction. (2) The (*E*)-selective Knoevenagel condensation of a β -ketonitrile with a chiral 2-alkoxyaldehyde prepared from (*S*)-malic acid. (3) A diastereoselective epoxidation. © 2002 Elsevier Science Ltd. All rights reserved.

NG-391 (1), which was isolated from a *Fusarium* sp. TF-0452 by a group at Taisho corporation, shows neurotrophic activity and an effect on neurite outgrowth.¹ Recently Kakeya and Osada et al., reisolated NG-391 from a *Fusarium* sp. RK 97-94 together with lucilactaene, a cell cycle inhibitor in p53-transfected cancer cells.² NG-391 may have potential for development as a new drug for various neurodegenerative diseases such as dementia. Structurally, it contains the 3-alkenoyl-3,4-epoxy-2-pyrrolidinone moiety and a labile, substituted pentaene, and its absolute stereochemistry is not known.

In 1998 we succeeded in the first total synthesis of epolactaene,³ a neurotrophic reagent isolated by Kakeya and Osada et al.⁴ Not only do NG-391 and epolactaene have similar biological properties, but they also have a very close structural similarity, both containing an epoxy-lactam and an unsaturated, hydrophobic side-chain. In order to elucidate the mechanism of action of these drugs, the examination of structure-reactivity relationships of NG-391, epolactaene and their derivatives is highly desirable, and to this end a flexible total synthesis of NG-391 is required. From the synthetic point of view, the synthesis of NG-391 is more challenging, and expected to be much more difficult than that of epolactaene, owing to the substituted, conjugated pentaene, labile to acid, base, light, and oxidative conditions. In this paper we will describe the diastereoselective first synthesis of the naturally-occuring enantiomer of NG-391, thus determining its absolute stereochemistry.



As initially we thought that construction of the unstable pentaene should be left to the last stages of the total synthesis, the following retrosynthetic scheme was designed (Scheme 1). Mild oxidation of selenium derivative 2 would afford NG-391, and the epoxy lactam moiety of 2 could be constructed from β -ketonitrile 3 using a Knoevenagel reaction⁵ and epoxidation as key steps. β -Ketonitrile 3 was to be synthesized from the triene derivative 4 used in the synthesis of epolacatene.^{3a}

The triene derivative **4** was synthesized stereoselectively from tetrahydropyran-2-ol by our reported method.^{3a} Oxidation of alcohol **4** with SO₃·pyridine⁶ afforded aldehyde **5** quantitatively (Scheme 2). α -Phenylselenation of aldehyde **5** was achieved using *N*,*N*-diethylbenzeneselenamide,⁷ and the subsequent Horner–Emmons reaction of **6** proceeded stereoselectively, affording γ -phenylseleno-E- α , β -unsaturated ester **7** in 48% yield over 2 steps, along with the deselenated side product. The methyl ester **7**

Keywords: NG-391; natural product; IBX oxidation; Knoevenagel reaction. * Corresponding author. Tel.: +81-3-5228-8318; fax: +81-3-5261-4631; e-mail: hayashi@ci.kagu.tus.ac.jp

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Scheme 1. The retrosynthesis of NG-391.

reacted with LiCH₂CN to afford β -ketonitrile **8** in 55% yield. The transformation of *t*-butyl ester **8** to methyl ester **9** by treatment with CF₃CO₂H, and the subsequent reaction with CH₂N₂ is not efficient, affording methyl ester **9** in low yield (36% for **2** steps). The low yield of the last 5 steps from **5** due to the phenylseleno substituent prompted us to modify the synthetic route.

During the course of these synthetic studies, the synthesis of the pentaene moiety was examined in order to check its stability (Scheme 3). When γ -seleno- α , β -unsaturated ester 7 was treated with MCPBA at low temperature, α -hydroxy-E- β , γ -unsaturated ester **10** was formed via [2,3]sigmatropic rearrangement⁸ instead of the expected pentaene 12. Though the one step conversion of γ -seleno- α , β -unsaturated ester 7 to pentaene 12 had not been achieved, a 2 step transformation of α -hydroxy- β , γ -unsaturated ester 10 to pentaene 12 was developed: when the α -hydroxy- β , γ unsaturated ester 10 was treated with SOCl₂ in pyridine, a [3,3]sigmatropic rearrangement proceeded, affording γ -chloro-E- α , β -unsaturated ester 11 in 75% yield. Elimination of HCl occurred on treatment of 11 with DBU in CH₂Cl₂ at rt, affording pentaene 12 in 78% yield as an inseparable E/Z mixture (E/Z=3:1). Although the E/Zselectivity could not be improved by altering the base or temperature, the stability of the pentaene moiety could be examined. It was found to be tolerant of exposure to weak

acid and base as long as there are two electron-withdrawing carbonyl groups attached at each end of the pentaene, but that it isomerizes gradually under illumination.

With these results in hands, we decided that it should be possible to prepare NG-391 by constructing the epoxylactam moiety during the last stages of the total synthesis after the formation of the pentaene portion, provided that the reactions employed in making the epoxy-lactam moiety were performed under mild conditions.

We next focused in detail on the stereoselective synthesis of pentaene 12 (Scheme 4). After some experimentation, we found that (E,E,E)-alcohol 4 was effectively oxidized with IBX (o-iodoxybenzoic acid, 5 equiv.) in the presence of TsOH at 60°C for 3 h under Nicolaou's conditions,⁹ to afford (E,E,E,E)-tetraene 13 selectively in 60% yield. Subsequent Horner-Emmons reaction with methyl diethylphosphonopropionate under Heathcock's conditions¹⁰ afforded (E, E, E, E, E)-pentaene 12 stereoselectively. As the pentaene 12 had been synthesized in only 2 steps from alcohol 4 with very high stereoselectivity, the remaining steps towards total synthesis were examined further. The reaction of methyl ester 12 with LiCH₂CN (2 equiv.) proceeded at -90°C after 20 min to afford β-ketonitrile 14 in good yield (98%) without affecting the *t*-butyl group. After brief treatment of *t*-butyl ester **14** with CF_3CO_2H in



Scheme 2. The first attempt for the synthesis of pentaene moiety.



Scheme 3. The second attempt for the synthesis of pentaene (12).

CH₂Cl₂ at 0°C for 1 h, the resulting carboxylic acid was treated with diazomethane at $-78^{\circ}C$,¹¹ affording methyl ester 15 in 96% yield. Knoevenagel condensation of β -ketonitrile **15** with (S)-4-(t-butyldiphenylsiloxy)-2triethylsiloxybutanal (16),¹² prepared from (S)-malic acid, proceeded efficiently in the presence of a catalytic amount of ethylenediammonium diacetate in benzene at rt for 3 h, affording E-Knoevenagel adduct 17 stereoselectively. The TES protecting group of 17 is essential for high yield in the Knoevenagel reaction, as reaction with the corresponding aldehyde having the more bulky TBS protecting-group proceeded slowly, affording the condensation product in low yield. Epoxidation with tritylperoxide in the presence of BuLi proceeded stereoselectively at low temperature from the side opposite the TES group, to give epoxynitrile 18 without affecting the conjugated pentaene. As epoxynitrile

18 and the following several compounds are labile, the next reactions were conducted without purification. Treatment of epoxynitrile 18 with TsOH at rt for 50 min removed the TES protecting group, affording hydroxynitrile 19. The hydrolysis of the nitrile to an amide occurred under very mild reaction conditions during TLC (thin layer chromatography) on silica gel via intramolecular hydroxyl group assistance, and without damaging the labile pentaene to afford lactone 20 in 80% yield over 3 steps from the Knoevenagel condensation product 17. Treatment of lactone 20 with ammonia in MeOH at 0°C for 10 min gave hydroxyamide 21 in 78% yield. Oxidation with the Dess-Martin periodinane^{3b,c,13} in CH₂Cl₂ for 10 min gave the epoxy lactam in 60% yield, and the final of the *t*-butyldiphenylsilyl group was effectively achieved with tetra-n-butylammonium fluoride in THF for 10 min at 0°C, affording NG-391 (1)



Scheme 4. Total synthesis of NG-391 (1).

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Scheme 5. Determination of the stereochemistry of the model epoxide.

quantitatively as an appropriately 5:1 diastereomeric mixture at the acetal position of γ -lactam moiety.

We determined the stereochemistry of **18** as (12R, 13S), based on the following model study which was conducted in the total synthesis of epolactaene (Scheme 5).¹⁴

The epoxidation was performed by the model alkene 22, giving one diastereomer 23 stereoselectively. The epoxide 23 was transferred to the previously synthesized lactone 25, the srereochemistry of which was already determined by the difference NOE experiment.^{3a}

Synthetic NG-391 exhibited identical properties to those reported for the natural substance¹ (¹H, ¹³C NMR and IR). Comparison of the optical rotation (synthetic NG-391: $[\alpha]_D^{25} = +41.7$ (c=0.37, MeOH), natural NG-391:¹ $[\alpha] = +39.3$ (c=0.5, MeOH)), determines the absolute stereochemistry to be as shown in **1**.

In summary, the first total synthesis of NG-391 has been accomplished in an enantio- and highly diastereoselective manner, enabling determination of the absolute stereochemistry. The synthesis has several noteworthy features. In particular the conjugated, substituted pentaene moiety was stereoselectively synthesized from our previously reported triene **4** in a short sequence using the IBX oxidation and Horner–Emmons reactions.

1. Experimental

1.1. General procedures

All reactions were carried out under argon and monitored by thin-layer chromatography using Merck 60 F_{254} precoated silica gel plates (0.25 mm thickness). Specific optical rotations were measured using a JASCO P-1020 polarimeter. FTIR spectra were recorded on an Horiba FT-720 spectrometer. ¹H and ¹³C NMR spectra were recorded on a Brucker AM400 instrument. High-resolution mass spectral analyses (HRMS) were carried out using JEOL JMS-SX 102A. Preparative thin-layer chromatography was performed using Wakogel B-5F purchased from Wako Pure Chemical Industries, Tokyo, Japan. Flash column chromatography was performed using silica gel Merck Art 7734. **1.1.1.** (*3E*,*5E*,*7E*)-2-[(*E*)-Ethylidene]-4-methyl-9-oxonona-3,5,7-trienoic acid *tert*-butyl ester (13). To a toluene and DMSO mixed solution (1:1, 0.27 mL) of alcohol 4^{3a} (20.7 mg, 0.078 mmol) was added IBX (109 mg, 0.389 mmol) and TsOH·H₂O (3.0 mg, 0.016 mmol) at rt and the reaction mixture was stirred for 10 min at rt then for 3 h at 60°C. The reaction was quenched by the addition of saturated NaHCO₃ solution, and the organic materials were extracted with ethyl acetate four times, then the combined organic extracts were washed with NaHCO₃ solution and brine, dried over anhydrous Na₂SO₄, and concentrated in vacuo after filtration. Purification by flash column chromatography (ethyl acetate/hexane=1/20) gave 12.1 mg (60%) of aldehyde 13.

¹H NMR (CDCl₃) δ =1.46 (9H, s), 1.67 (3H, d, *J*=7.9 Hz), 1.69 (3H, s), 6.17 (1H, dd, *J*=13.7, 7.9 Hz), 6.29 (1H, s), 6.45 (1H, dd, *J*=15.2, 11.0 Hz), 6.78 (1H, d, *J*=15.2 Hz), 6.85 (1H, q, *J*=7.0 Hz), 7.17 (1H, dd, *J*=15.2, 11.0 Hz), 9.55 (1H, d, *J*=7.9 Hz); ¹³C NMR (CDCl₃) δ 14.3, 15.7, 28.0, 80.8, 125.9, 131.1, 131.3, 131.8, 137.1, 139.4, 146.6, 152.3, 165.8, 193.5; IR (neat) 2978, 1707, 1678, 1280, 1255, 1132, 1012, 850, 572 cm⁻¹; HRMS (EI): calcd for C₁₆H₂₂O₃: 262.1569, found: 262.1581.

1.1.2. (2E,4E,6E,8E)-10-[(E)-Ethylidene]-2,8-dimethylundeca-2,4,6,8-tetraenedioic acid 11-tert-butyl ester 1-methyl ester (12). To a DME (0.5 mL) solution of methyl 2-(diethylphosphono)propanate (115 mg, 0.51 mmol) was added a hexane solution of BuLi (1.45 M, 0.30 mL, 0.43 mmol) at 0°C. The reaction mixture was stirred for 10 min at this temperature, then a DME (0.6 mL) solution of aldehyde 13 (45.0 mg, 0.17 mmol) was added at rt. After a further 1 h of stirring, a buffer solution was added and the organic materials were extracted with ethyl acetate, then the combined organic phases were dried over anhydrous Na₂SO₄, and concentrated in vacuo after filtration. Purification by flash column chromatography (ethyl acetate/hexane=1/5) gave 52.6 mg (92%) of ester 12.

¹H NMR (CDCl₃) δ 1.49 (9H, s), 1.61 (3H, s), 1.63 (3H, d, J=7.0 Hz), 3.74 (3H, s), 6.12 (1H, s), 6.36 (1H, dd, J=15.1, 10.0 Hz), 6.81 (1H, q, J=7.0 Hz), 6.51 (1H, dd, J=14.6, 10.8 Hz), 6.52 (1H, d, J=15.1 Hz), 6.58 (1H, dd, J=14.6, 10.0 Hz), 7.21 (1H, d, J=10.8 Hz); ¹³C NMR (CDCl₃) δ 12.7, 14.4, 15.7, 28.1, 51.7, 80.5, 126.4, 127.4, 127.6, 128.1,

132.2, 137.5, 138.5, 138.6, 139.8, 140.3, 166.3, 168.8; IR (neat) 2977, 1708, 1590, 1367, 1153, 991, 750 cm⁻¹; HRMS (FAB): calcd for C₂₀H₂₈O₄: 332.1988, found: 332.1978.

1.1.3. (3*E*,5*E*,7*E*,9*E*)-12-Cyano-2-[(*E*)-ethylidene]-4,10dimethyl-11-oxododeca-3,5,7,9-tetraenoic acid *tert*butyl ester (14). To a THF (1.2 mL) solution of CH₃CN (0.019 mL, 0.361 mmol) was added BuLi (1.45 M, 0.11 mL, 0.153 mmol) at -78° C and the reaction mixture was stirred for 1 h at this temperature. To the reaction mixture was added a THF (2 mL) solution of ester 12 (30.0 mg, 0.090 mmol) at -90° C. The reaction was stirred for 10 min, then was quenched by the addition of a buffer solution. The organic materials were extracted with ethyl acetate, and the combined organic phases were dried over anhydrous Na₂SO₄, and concentrated in vacuo after filtration. Purification by flash column chromatography (ethyl acetate/hexane=1/5) gave 30.1 mg (98%) of ketonitrile 14.

¹H NMR (CDCl₃) δ 1.46 (9H, s), 1.67 (3H, s), 1.68 (3H, d, J=6.9 Hz), 1.93 (3H, s), 3.77 (2H, s), 6.19 (1H, s), 6.41 (1H, dd, J=15.0, 10.7 Hz), 6.59 (1H, dd, J=15.1, 11.1 Hz), 6.61 (1H, d, J=14.6 Hz), 6.72 (1H, dd, J=14.6, 10.7 Hz), 6.83 (1H, q, J=6.9 Hz), 7.1 (1H, d, J=11.1 Hz); ¹³C NMR (CDCl₃) δ 11.7, 14.3, 15.7, 28.1, 28.2, 80.7, 114.4, 127.1, 127.7, 128.9, 132.0, 133.2, 137.4, 138.9, 141.5, 142.6, 142.9, 166.1, 187.7; IR (neat) 2979, 2256, 1704, 1666, 1585, 1367, 1282, 993, 850, 732 cm⁻¹; HRMS (FAB): calcd for [C₁₇H₁₉NO₃-tertBu]: 285.1365, found: 285.1348.

1.1.4. (3*E*,5*E*,7*E*,9*E*)-12-Cyano-2-[(*E*)-ethylidene]-4,10dimethyl-11-oxododeca-3,5,7,9-tetraenoic acid. To a CH_2Cl_2 (0.6 mL) solution of ketonitrile 14 (28.0 mg, 0.082 mmol) was added CF_3COOH (0.6 mL) at 0°C and the reaction mixture was stirred for 1 h at 0°C. The solvent and CF_3COOH were removed in vacuo, affording the carboxylic acid, which was used directly in the next reaction.

¹H NMR (CDCl₃) δ 1.72 (3H, s), 1.77 (3H, d, *J*=7.1 Hz), 1.94 (3H, s), 3.79 (2H, s), 6.23 (1H, s), 6.45 (1H, dd, *J*=15.1, 10.6 Hz), 6.62 (1H, dd, *J*=15.1, 10.9 Hz), 6.63 (1H, d, *J*=14.4 Hz), 6.73 (1H, dd, *J*=14.4, 10.6 Hz), 7.02 (1H, d, *J*=10.9 Hz), 7.11 (1H, q, *J*=7.1 Hz); ¹³C NMR (CDCl₃) δ 11.8, 14.3, 16.2, 77.2, 127.4, 127.6, 128.4, 133.4, 138.6, 142.0, 142.7, 142.9, 170.0, 187.6; IR (neat) 2923, 2260, 1666, 1616, 1585, 1234, 995, 755 cm⁻¹; HRMS (FAB): calcd for $C_{17}H_{19}O_3$: 285.1365, found: 285.1363.

1.1.5. (3*E*,5*E*,7*E*,9*E*)-12-Cyano-2-[(*E*)-ethylidene]-4,10dimethyl-11-oxododeca-3,5,7,9-tetraenoic acid methyl ester (15). To an ether (4 mL) solution of the crude carboxylic acid was added an ether solution of CH_2N_2 at $-78^{\circ}C$. The solvent was removed in vacuo. Purification by flash column chromatography (ethyl acetate/hexane=1/5) gave 23.5 mg (96% for 2 steps) of ketonitrile 15.

¹H NMR (CDCl₃) δ 1.68 (3H, s), 1.72 (3H, d, *J*=7.1 Hz), 1.93 (3H, s), 3.70 (3H, s), 3.78 (2H, s), 6.20 (1H, s), 6.42 (1H, dd, *J*=15.2, 10.7 Hz), 6.59 (1H, dd, *J*=15.2, 11.0 Hz), 6.61 (1H, d, J=14.6 Hz), 6.72 (1H, dd, J=14.6, 10.7 Hz), 6.95 (1H, q, J=7.1 Hz), 7.02 (1H, d, J=11.0 Hz); ¹³C NMR (CDCl₃) δ 11.7, 14.2, 15.9, 28.2, 51.9, 114.3, 127.4, 128.1, 128.3, 130.4, 133.4, 137.9, 141.1, 142.2, 142.8, 142.9, 167.4, 187.6; IR (neat) 2951, 2256, 1712, 1666, 1585, 1434, 1253, 993, 732 cm⁻¹; HRMS (FAB): calcd for C₁₈H₂₁NO₃: 299.1521, found: 299.1467.

1.1.6. (14*S*)-(3*E*,5*E*,7*E*,9*E*,12*E*)-16-(*tert*-Butyldiphenylsiloxy)-12-cyano-2-[(*E*)-ethylidene]-4,10-dimethyl-11oxo-14-triethylsiloxyhexadeca-3,5,7,9,12-pentaenoic acid methyl ester (17). To a benzene (0.4 mL) solution of ketonitrile 15 (45.0 mg, 0.150 mmol) was added (*S*)aldehyde 16 (214 mg, 0.469 mmol) and ethylenediammonium diacetate (8.0 mg, 0.045 mmol), and the reaction mixture was stirred for 3 h at rt. After the solvent was removed in vacuo, rapid flash column chromatography on florisil gel (ethyl acetate/hexane=1/10) gave the crude olefin 17 (81.8 mg). Purification on silica gel caused substantial decomposition of the product.

¹H NMR (CDCl₃) δ 0.61–0.67 (6H, m), 0.93–0.97 (9H, m), 1.04 (9H, s), 1.70 (3H, s), 1.74 (3H, d, *J*=7.3 Hz), 3.36 (3H, s), 3.75–3.85 (2H, m), 4.98 (1H, q, *J*=6.7 Hz), 6.21 (1H, s), 6.38–6.48 (1H, m), 6.58–6.62 (2H, m), 6.98 (1H, q, *J*=7.3 Hz), 7.03–7.10 (2H, m 7.35–7.40 (6H, m), 7.63– 7.68 (4H, m); ¹³C NMR (CDCl₃) δ 4.7, 4.9, 6.7, 12.5, 14.2, 15.9, 19.1, 26.8, 40.1, 59.9, 68.4, 113.7, 127.4, 127.7, 127.8, 128.2, 129.7, 130.4, 133.4, 133.5, 135.5, 135.6, 138.0, 140.4, 141.8, 142.2, 142.6, 161.2, 167.4, 188.2; IR (neat) 2954, 1718, 1585, 1245, 1112, 1085, 731, 701, 615 cm⁻¹; HRMS (FAB): calcd for $[C_{44}H_{60}O_5NSi_2+H]^+$: 738.4010, found: 738.4058; $[\alpha]_{24}^{24}$ =+7.0 (*c*=0.55, MeOH).

1.1.7. (12*R*,13*S*,14*S*)(3*E*,5*E*,7*E*,9*E*)-16-(*tert*-Butyldiphenylsiloxy)-12-cyano-2-[(*E*)-ethylidene]-4,10-dimethyl-11oxo-14-triethylsiloxy-12,13-epoxyhexadeca-3,5,7,9-pentaenoic acid methyl ester (18). To a THF solution (2 mL) of tritylperoxide (122 mg, 0.325 mmol) was added a hexane solution of BuLi (0.187 mL, 1.45 M, 0.27 mmol) at -78° C, and the reaction mixture was stirred at this temperature for 1 h. To this reaction mixture was added a THF (3 mL) solution of crude olefin 17, and the mixture was stirred for 1 h at -78° C. The reaction was quenched with a buffer solution and the organic materials were extracted with ethyl acetate, and combined organic phases were dried over anhydrous Na₂SO₄, and concentrated in vacuo after filtration. The crude epoxide was used directly in the next reaction.

1.1.8. (3E,5E,7E,9E)-11- $\{(1R,4S,5S)$ -4-[(2-tert-Butyldiphenylsiloxy)ethyl]-2-oxo-3,6-dioxabicyclo[3.1.0]hex-1-yl}-2-[(E)-ethylidene]-4,10-dimethyl-11-oxoundeca-3,5,7,9-tetraenoic acid methyl ester (20). To the crude epoxide 18 was added THF (1.2 mL), H₂O(70 µL), and TsOH·H₂O (20 mg) at 0°C and the reaction mixture was stirred for 1 h at rt. After addition of a saturated NaHCO₃ solution, the organic materials were extracted with ethyl acetate, and the organic phases were dried over anhydrous Na₂SO₄, and concentrated in vacuo after filtration. Purification by rapid flash column chromatography (ethyl acetate/hexane=1/5) gave crude hydroxynitrile 19. The crude hydroxynitrile 19 was charged on to a thin-layer chromatography plate and developed by ethyl acetate/ hexane mixed solvent (1/1). 51.0 mg of lactone **20** was obtained in 80% over 3 steps.

¹H NMR (CDCl₃) δ 1.05 (9H, s), 1.71 (3H, s), 1.73 (3H, d, J=7.3 Hz), 1.87 (3H, s), 3.74 (3H, s), 3.81–3.92 (2H, m), 4.23 (1H, s), 4.80–4.87 (1H, m), 6.22 (1H, s), 6.45 (1H, dd, J=15.2, 10.5 Hz), 6.70 (1H, q, J=7.3 Hz), 6.98 (1H, q, J=7.3 Hz), 7.35–7.48 (6H, m), 7.61–7.68 (4H, m); ¹³C NMR (CDCl₃) δ 11.4, 14.2, 15.9, 19.2, 26.9, 35.2, 51.2, 51.9, 59.1, 60.4, 63.4, 127.8, 127.9, 128.1, 128.3, 129.9, 130.4, 133.1, 133.5, 135.5, 138.0, 140.5, 142.4, 143.5, 145.1, 167.4, 168.5, 187.8; IR (neat) 2929, 1787, 1680, 1635, 1112, 991, 735 cm⁻¹; HRMS (FAB): calcd for C₃₈H₄₄O₇Si: 640.2856, found: 640.2855; [α]_D⁵=–20.3 (*c*=0.953, MeOH).

1.1.9. (14R,15S,16S)(3E,5E,7E,9E)-16-(*tert*-Butyldiphenylsiloxy)-12-carbamoyl-4,10-dimethyl-2-[(E)-ethylidene]-12,13-epoxy-14-hydroxy-11-oxohexadeca-3,5,7,9-tetraenoic acid methyl ester (21). To a MeOH (2 mL) solution of lactone 20 (51.1 mg, 0.080 mmol) was added NH₄OH solution (30%, 1.0 mL) at 0°C, and the reaction mixture was stirred for 20 min at this temperature. The reaction was quenched with a buffer solution and the organic materials were extracted with CHCl₃ four times, then the combined organic phases were dried over anhydrous Na₂SO₄, and concentrated in vacuo after filtration. Purification by preparative thin layer chromatography (ethyl acetate/hexane=2/1) gave 40.0 mg (78%) of hydroxyamide 21.

¹H NMR (CDCl₃) δ 1.04 (9H, s), 1.69 (3H, s), 1.72 (3H, d, J=7.4 Hz), 1.92 (3H, s), 3.23 (1H, d, J=8.0 Hz), 3.73 (3H, s), 3.82–3.89 (1H, m), 3.89–3.97 (1H, m), 5.74 (1H, bs), 6.18 (1H, s), 6.43 (1H, dd, J=15.1, 10.6 Hz), 6.53 (1H, bs), 6.57 (1H, d, J=15.7 Hz), 6.65 (1H, dd, J=14.6, 11.2 Hz), 6.75 (1H, dd, J=14.6, 10.6 Hz), 6.96 (1H, q, J=7.4 Hz), 7.27–7.47 (7H, m), 7.58–7.70 (4H, m); ¹³C NMR (CDCl₃) δ 11.4, 14.2, 15.9, 19.1, 26.8, 36.0, 51.9, 61.9, 64.2, 65.9, 68.8, 71.6, 127.8, 127.9, 128.0, 128.4, 129.9, 132.6, 132.9, 135.5, 138.0, 140.4, 142.0, 143.3, 146.0, 168.9, 167.5, 192.9; IR (neat) 3454, 2929, 1714, 1693, 1652, 1581, 1428, 1249, 1111, 991, 734, 703, 505 cm⁻¹; HRMS (FAB): calcd for C₃₈H₄₇NO₇Si: 657.3122, found: 657.3124; $[\alpha]_D^{23}=-49.7$ (*c*=0.65, CHCl₃).

1.1.10. (*3E*,5*E*,7*E*,9*E*)-11-{(1*R*,5*S*)-4-[2-(*tert*-Butyldiphenylsiloxy)ethyl]-4-hydroxy-2-oxo-3-aza-6-oxabicyclo-[3.1.0]hex-1-yl}-2-[(*E*)-ethylidene]-4,10-dimethyl-11oxoundeca-3,5,7,9-tetraenoic acid methyl ester. To a CH_2Cl_2 (0.2 mL) solution of hydroxyamide 21 (13.0 mg, 0.0197 mmol) was added Dess-Martin periodinane (16.8 mg, 0.0395 mmol) at 0°C, and the reaction mixture was stirred for 2 h at rt. The reaction was quenched with a saturated NaHCO₃ solution. The organic materials were extracted with ethyl acetate, and the combined organic extracts were washed with a saturated NaHCO₃ solution, dried over anhydrous Na₂SO₄, and concentrated in vacuo after filtration. Purification by preparative thin layer chromatography (ethyl acetate/hexane=3/2) gave 7.7 mg (60%) of lactam.

¹H NMR (CDCl₃) δ 1.07 (9H, s), 1.69 (3H, s), 1.97 (3H, s),

2.02 (3H, d, J=1.2 Hz), 2.01–2.12 (2H, m), 3.84–3.91 (1H, m), 3.72 (3H, s), 3.96 (1H, d, J=7.2 Hz), 4.01–4.09 (1H, m), 4.91 (1H, bs), 6.19 (1H, s), 6.45 (1H, dd, J=15.2, 10.4 Hz), 6.58 (1H, d, J=15.2 Hz), 6.66 (1H, dd, J=14.6, 10.8 Hz), 6.73 (1H, dd, J=14.6, 10.4 Hz), 6.95 (1H, q, J=7.2 Hz), 7.13–7.47 (7H, m), 7.59–7.67 (4H, m); ¹³C NMR (CDCl₃) δ 11.4, 14.2, 15.9, 19.0, 35.9, 52.2, 60.4, 61.7, 63.7, 77.3, 85.5, 127.8, 128.0, 128.1, 128.5, 130.3, 130.4, 132.1, 133.9, 135.5, 138.1, 140.4, 141.9, 143.0, 144.4, 167.4, 169.2, 189.3; IR (neat) 3401, 2929, 1722, 1581, 1428, 1265, 1112, 991, 734, 703 cm⁻¹; HRMS (FAB): calcd for C₃₈H₄₃NO₇ Si: 655.2965, found: 655.2957; [α]_D²⁴=+33.5 (c=0.57, MeOH).

1.1.11. NG-391 (1).¹ To a THF (0.1 mL) solution of lactam (6.5 mg, 0.001 mmol) was added a THF solution of tetra-*n*-butylammonium fluoride (1.0 M, 0.02 mL, 0.02 mmol) at 0°C, and the reaction mixture was stirred for 10 min at 0°C. The reaction was quenched with a saturated NH₄Cl solution, and the organic materials were extracted with ethyl acetate. The combined organic extracts were washed with brine, dried over anhydrous Na₂SO₄, and concentrated in vacuo after filtration. Purification by preparative thin layer chromatography (ethyl acetate/hexane=3/1) gave 4.5 mg of NG-391 (1) quantitatively.

¹H NMR (CDCl₃) δ 1.68 (3H, s), 1.73 (3H, d, *J*=7.0 Hz), 1.86 (3H, s), 2.02 (1H, s), 2.04–2.13 (2H, m), 3.72 (3H, s), 3.87–3.97 (1H, m), 4.00 (1H, s), 4.02–4.12 (2H, m), 6.20 (1H, s), 6.38–6.53 (2H, m), 6.57–6.69 (2H, m), 6.75 (1H, dd, *J*=14.6, 10.7 Hz), 6.92–7.02 (2H, m), 7.45 (1H, d, *J*=11.4 Hz); ¹³C NMR (CDCl₃) δ 11.3, 14.2, 15.9, 35.8, 51.9, 58.3, 61.7, 63.6, 85.3, 127.9, 128.4, 130.3, 133.8, 138.0, 140.5, 142.2, 143.5, 145.3, 167.4, 169.8, 190.0; IR (neat) 3404, 2929, 1722, 1581, 1428, 1265, 991 cm⁻¹; HRMS (FAB): calcd for [C₂₂H₂₇NO₇+H]⁺: 418.1866, found: 418.1839; $[\alpha]_D^{25}$ =+41.7 (*c*=0.37, MeOH).

1.2. Synthesis of chiral aldehyde 16

Aldehyde **16** was prepared from (*S*)-malic acid according to the following scheme via the known ester **28** by a modification of the procedure of Gong and Lynn.¹⁵ (*S*)-Malic acid was treated with trifluoroacetic anhydride, then with MeOH, affording hydroxy carboxylic acid **27** in 87% yield. Reduction of the carboxylic acid was effectively carried out with BH₃·SMe₂, affording dihydroxy ester **28**. As the hydroxy-ester easily cyclized to a lactone, **28** was treated with *tert*-butyldimethylsilylchloride and triethylsilylchloride successively to give ester **29** in moderate yield. Reduction of ester **29** and oxidation of the resulting alcohol afford chiral aldehyde **16** in 66% yield over 2 steps (Scheme 6).

1.2.1. (*S*)-2-Hydroxysuccinic acid 1-methyl ester (27). To (*S*)-malic acid (3.54 g, 26.3 mol) was added trifluoroacetic anhydride (14.8 mL), and the reaction mixture was stirred for 40 min. After removal of the remaining trifluoroacetic anhydride in vacuo, MeOH (15 mL) was added to the remaining solid, which was stirred for 1.5 h at rt. Volatile materials were removed in vacuo and the residue was crystallized from ether/hexane to give 3.38 g (87%) of esther 27.



Scheme 6. Synthesis of chiral aldehyde 16.

¹H NMR (CDCl₃) δ 2.82 (1H, dd, *J*=16.7, 6.1 Hz), 2.89 (1H, dd, *J*=16.7, 4.2 Hz), 3.80 (3H, s), 4.32 (1H, dd, *J*=6.1, 4.2 Hz); ¹³C NMR (CDCl₃) δ 35.9, 52.4, 59.2, 68.9, 175.3; mp 70.0–71.0°C; [α]²³_D=-15.6 (*c*=1.33, MeOH).

1.2.2. (S)-2,4-Dihydroxybutyric acid methyl ester (28). To a THF (15 mL) solution of ester 27 (1.42 g, 9.59 mmol) was added $BH_3 \cdot SMe_2$ (3.7 mL) at 0°C. After stirring the reaction for 2 h at rt, it was quenched with MeOH. The solvent was removed in vacuo, and the remaining oil was evaporated from MeOH several times to remove the methylborate, giving 1.28 g of diol 28 quantitatively, which was used in next reaction without further purification owing to its easy lactonization.

¹H NMR (CDCl₃) δ 1.85–1.96 (2H, m), 2.02–2.12 (2H, m), 2.41–2.79 (2H, br), 3.80 (3H, s), 3.76–3.98 (2H, m); ¹³C NMR (CDCl₃) δ 19.1, 26.8, 36.3, 52.3, 60.5, 68.6, 127.6, 129.7, 133.2, 135.5, 175.3; IR (neat) 3501, 2931, 1735, 1427, 1220, 1112, 823, 701, 613, 505 cm⁻¹.

1.2.3. (S)-4-(tert-Butyldiphenylsiloxy)-2-triethylsiloxybutvric acid methyl ester (29). To a CH₂Cl₂ (6 mL) solution of ester 28 (1.57 g, 11.7 mmol), triethylamine (1.96 mL, 14.1 mmol) and DMAP (143 mg, 1.17 mmol) added *tert*-butyldiphenylsilylchloride was (3.55 g. 12.9 mmol) at 0°C, and the reaction mixture was stirred for 13 h at rt. After a buffer solution had been added, the organic materials were extracted with ethyl acetate, and the combined organic extracts were washed with brine, dried over anhydrous MgSO₄, and concentrated in vacuo after filtration. The crude hydroxyester was used directly in the next step. To a DMF (12 mL) solution of the crude hydroxyester (4.37 g, 11.7 mmol) was added imidazole (1.43 g, 21.1 mmol) and triethylsilylchloride (2.65 g, 17.6 mmol) at 0°C, and the reaction mixture was stirred for 2 h at rt. After a buffer solution had been added, the organic materials were extracted with ethyl acetate, and the combined organic extracts were washed with brine, dried over anhydrous MgSO₄, and concentrated in vacuo after filtration. Purification by flash column chromatography (ethyl acetate/hexane=1/10) gave 3.81 g (67%) of ester **29**.

¹H NMR (CDCl₃) δ 0.60 (6H, q, *J*=7.8 Hz), 0.94 (9H, s), 1.04 (9H, t, *J*=7.8 Hz), 1.82–1.90 (1H, m), 1.93–2.22 (1H, m), 3.67 (3H, s), 3.67–3.82 (2H, m), 4.45 (1H, d, *J*=4.6 Hz); ¹³C NMR (CDCl₃) δ 4.6, 6.7, 19.2, 26.8, 38.1, 51.7, 59.7, 68.8, 127.6, 129.6, 133.8, 135.5, 178.3; IR (neat) 2954, 2933, 2877, 1758, 1428, 1139, 1112, 823, 701, 505 cm⁻¹; $[\alpha]_{D}^{25}=-43.4$ (*c*=1.10, CHCl₃); HRMS (FAB): calcd for $[C_{27}H_{43}O_4Si_2+H]^+$: 487.2700, found: 487.2690. **1.2.4.** (*S*)-4-(*tert*-Butyldiphenylsiloxy)-2-triethylsiloxybutan-1-ol. To a CH₂Cl₂ (14 mL) solution of ester **29** (3.81 g, 7.83 mmol) was added a hexane solution of DIBAL (0.95 M, 28.8 mL, 27.4 mmol) at -50° C, and the reaction mixture was stirred for 1 h at -10° C. To the reaction mixture were added MeOH (1.44 mL, 32.9 mmol) and Na₂SO₄·10H₂O(13.2 g, 43.9 mmol), and stirring was continued for 40 min. After filtration of the inorganic materials, the solvent was removed in vacuo to give 2.36 g (66%) of the alcohol, which was used in the next reaction without purification.

¹H NMR (CDCl₃) δ 0.59 (6H, q, *J*=7.8 Hz), 0.93 (9H, t, *J*=7.8 Hz), 1.04 (9H, s), 1.70–1.82 (2H, m), 2.18 (1H, t, *J*=6.6 Hz), 3.43–3.51 (1H, m), 3.55–3.62 (1H, m), 3.71 (2H, t, *J*=5.9 Hz), 3.95–4.02 (1H, m), 7.33–7.43 (6H, m), 7.62–7.68 (4H, m); IR (neat) 3436, 2933, 2877, 1471, 1427, 1112, 1006, 738, 721 cm⁻¹; HRMS (FAB): calcd for $[C_{26}H_{43}O_3Si_2+H]^+$: 459.2751, found: 459.2805; $[\alpha]_D^{25}=+12.2$ (*c*=0.95, CHCl₃).

1.2.5. (*S*)-4-(*tert*-Butyldiphenylsiloxy)-2-triethylsiloxybutanal (16). To a CH_2Cl_2 (0.68 mL) solution of alcohol (315.0 mg, 0.687 mmol) was added triethylamine (0.48 mL), DMSO (0.68 mL) and SO_3 ·pyridine (328 mg, 2.06 mmol) at 0°C, and the reaction mixture was stirred for 1.5 h. After a buffer solution had been added, the organic materials were extracted with ethyl acetate three times, and the combined organic extracts were washed with brine, dried over anhydrous Na₂SO₄, concentrated in vacuo after filtration to give 313 mg of aldehyde 16 in quantitative yield.

¹H NMR (CDCl₃) δ 0.64 (6H, q, *J*=7.9 Hz), 0.97 (9H, t, *J*=7.9 Hz), 1.04 (9H, s), 1.83–1.99 (2H, m), 3.67–3.73 (1H, m), 3.83–3.90 (1H, m), 4.24 (1H, t, *J*=5.3 Hz), 7.36–7.44 (6H, m), 7.65–7.70 (4H, m), 9.72 (1H, s); ¹³C NMR (CDCl₃) δ 4.8, 5.0, 6.7, 19.0, 26.8, 36.4, 58.8, 74.7, 127.7, 129.6, 133.5, 135.6, 204.2; IR (neat) 2956, 2859, 1738, 1427, 1113, 1009, 702, 505.

Acknowledgements

This work was supported by the Sumitomo Foundation and Grants-in-Aid for Scientific Research from the Ministry of Education, Culture, Sports, Science and Technology of Japan. The authors thank Drs H. Osada and H. Kakeya, of RIKEN, for sending us NMR data for NG-391 and for helpful discussion throughout the course of this research. The authors are grateful to Shinetsu-Kagaku Corporation for the gift of TBSCI.

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